11-23-04

TENT AND TRADEMARK OFFICE

Page 1 of 2

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/791,662

03/02/2004

David N. Krag

341148001US7

25096 PERKINS COIE LLP PATENT-SEA P.O. BOX 1247 SEATTLE, WA 98111-1247 **FORMALITIES LETTER** \*OC000000012742863\*

**CONFIRMATION NO. 2720** 

Date Mailed: 05/21/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/24/2004 GWORDOF1 00000068 10791662

FILED UNDER 37 CFR 1.53(b)

02 FC:2001 03 FC:2201 04 FC:2051

395.00 OP 88.00 OP 65.00 OP

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- · The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$697 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1147 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$697
  - \$301 for 7 independent claims over 3.
  - \$396 for 44 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ress Vail No. EV488420709US

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE for the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

20

# **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/791,662-Conf. #2720
Filing Date	March 2, 2004
First Named Inventor	David N. Krag
Art Unit	3736
Examiner Name	Not Yet Assigned
Attorney Docket Number	341148001US7

ENCLOSURES (Check all that apply)					
x Fee Transi	mittal Form	Drawing(s)		After Allowance Communication to TC	
x Fee	Attached	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
X Amendmer	nt/Reply	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After	Final	Petition to Convert to a Provisional Application		Proprietary Information	
Affida	avits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter	
x Extension	X Extension of Time Request Terminal Disclaimer			X Other Enclosure(s) (please Identify below):	
Express At	Abandonment Request  Request for Refund			Declaration Application Data Sheet	
Information Disclosure Statement		CD, Number of CD(s)		Part 2 Copy of Notice Return Receipt Postcard	
	Certified Copy of Priority Document(s)  Landscape Table on CD				
X Reply to Missing Parts/ Incomplete Application		Remarks			
	y to Missing Parts under FR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Name	PERKINS COIE LLP				
Signature Paul Paulin					
Printed name	Paul T. Parker				
Date	November 22, 2004		Reg. No.	38,264	

PTO/SB/17 (10-04v2) Approved for use through 7/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete if Known

er the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number. TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

10/791,662-Conf. #2720 **Application Number** March 2, 2004 Filing Date First Named Inventor David N. Krag Examiner Name Not Yet Assigned

3736

Applicant claims small entity status. See 37 CFR 1.27

Express Mail No. EV488420709US

STENT & THA

341148001US7 TOTAL AMOUNT OF PAYMENT Attorney Docket No.

Art Unit

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Credit Money 3. ADDITIONAL FEES х Check Other None Deposit Account: Large Entity Small Entity 50-0665 Fee Fee Account Fee Description Code (\$) Code (\$) Fee Paid Deposit 130 2051 1051 65 Surcharge - late filing fee or oath 65.00 Perkins Coie LLP Account Name Surcharge - late provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) X Credit any overpayments Charge fee(s) indicated below 1053 130 1053 130 Non-English specification X Charge any additional fee(s) or any underpayment of fee(s) 1812 2.520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below, except for the filing fee Requesting publication of SIR prior to 1804 9201 1804 920\* to the above-identified deposit account. Examiner action Requesting publication of SIR after 1805 1840 1805 18401 FEE CALCULATION 1251 110 2251 55 Extension for reply within first month 1. BASIC FILING FEE 1252 430 2252 215 Extension for reply within second month Large Entity Small Entity 980 2253 1253 490 Extension for reply within third month Fee Fee Fee Fee Description Fee Paid Extension for reply within fourth month 1254 1,530 2254 765.00 (\$) Code Code (\$) 1001 790 2001 395 Utility filing fee 395.00 1255 2.080 2255 1,040 Extension for reply within fifth month 1002 350 2002 175 Design filing fee 1401 340 2401 170 Notice of Appeal 1003 550 2003 275 Plant filing fee 1402 340 2402 170 Filing a brief in support of an appeal 1004 790 2004 395 Reissue filing fee 1403 300 2403 150 Request for oral hearing Provisional filing fee 1005 160 2005 80 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 Petition to revive - unavoidable 110 2452 55 **SUBTOTAL (1)** (\$) 395.00 1453 1.370 2453 685 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,370 2501 685 Utility issue fee (or reissue) Extra Fee from 1502 490 2502 245 Design issue fee Fee Paid Claims below -20\*\* = 13 **Total Claims** 0.00 1503 660 2503 330 Plant issue fee Independent -3\*\* = 1460 130 1460 130 Petitions to the Commissioner 2 44.00 88.00 Claims 1807 50 1807 Processing fee under 37 CFR 1.17(q) 50 Multiple Dependent 1806 180 1806 Submission of Information Disclosure Stmt Large Entity Small Entity Fee (\$) Recording each patent assignment per Fee Description 8021 40 8021 Code Code (\$) property (times number of properties) 18 2202 Filing a submission after final rejection 1202 9 Claims in excess of 20 1809 790 2809 395 (37 CFR 1.129(a)) 1201 88 2201 44 Independent claims in excess of 3 For each additional invention to be 1810 790 2810 395 1203 300 2203 150 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 2204 \*\* Reissue independent claims 1204 88 44 1801 790 2801 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 900 1802 1205 18 2205 \* Reissue claims in excess of 20 of a design application and over original patent Other fee (specify) 830.00 SUBTOTAL (2) (\$) 88.00 \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY (Complete (if applicable))					
Name (Print/Type)	Paul T. Parker	Registration No. (Attorney/Agent)	38,264	Telephone	(206) 359-8000
Signature	Paul Pala			Date	November 22, 2004



Docket No.: 341148001US7

Confirmation No.: 2720

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David N. Krag

Application No.: 10/791,662

Filed: March 2, 2004

Art Unit: 3736

For: SYSTEM AND METHOD FOR

BRACKETING AND REMOVING TISSUE Examiner: Not Yet Assigned

### RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed May 21, 2004, Applicant respectfully submits a Declaration, an Application Data Sheet, the Filing Fee for the Application (as shown on accompanying Fee Transmittal), a Preliminary Amendment, a Petition for an Extension of Time, and Part 2 Copy of Notice.

Our check in the amount of \$1,313.00 covering the fee set forth in 37 CFR 1.16(e), 1.16(a), 1.16(b), and 1.17(a)(4) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit

Application No.: 10/791,662 Docket No.: 341148001US7

Account No. 50-0665, under Order No. 341148001US7.

Dated: November 22, 2004

Respectfully submitted,

Paul T. Parker

Registration No.: 38,264

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Attorney for Applicant

Application No. (if known):	Attorney Docket No.: 341148001US7
Certificate of Exp	oress Mailing Under 37 CFR 1.10
I hereby certify that this correspo Express Mail, Airbill No. EV4884	ndence is being deposited with the United States Postal Service as 20709US in an envelope addressed to:
Commissioner for P.O. Box 1450 Alexandria, VA 22	
on November 22, 2004 Date	·
	Signature
7.004.00	Doug Gow
Typed or p	rinted name of person signing Certificate
Registration Number, if applica	deble (206) 359-6796 Telephone Number
Note: Each paper must have i each submitted paper.  Application Data She	ts own certificate of mailing, or this certificate must identify et